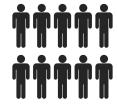
When LLMs Meet Mental Health Measurement

Adam Kolář

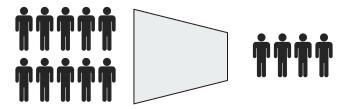
Outline

- Intro to HAM-A & clinical trials
- Lessons learned
- What the real issues in clinical practice are

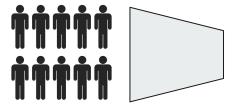
Clinical trials



Inclusion/exclusion criteria



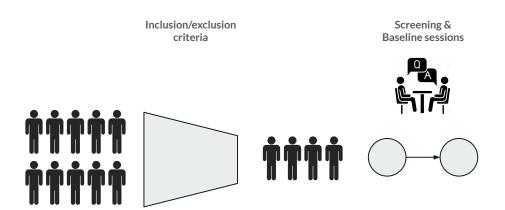
Inclusion/exclusion criteria

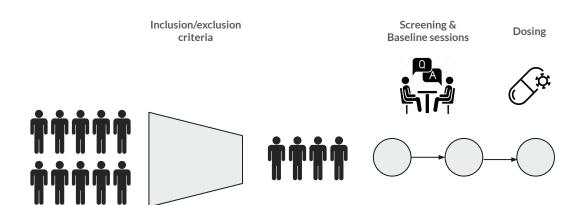


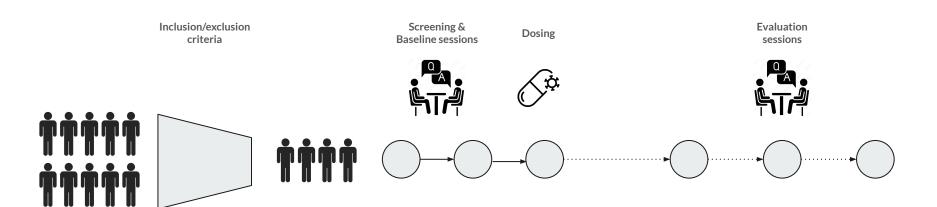


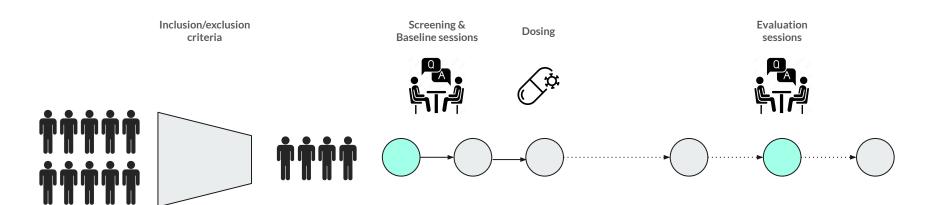
HAM-A score

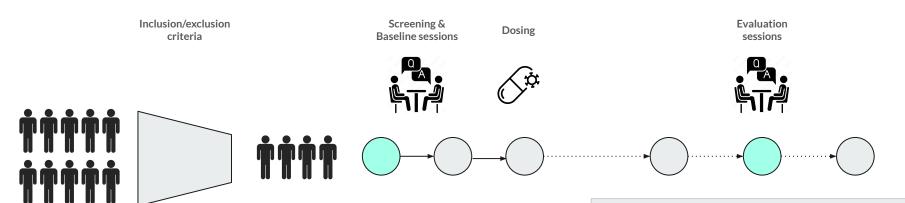
- Minimal: 0 7
 Mild: 8 14
 Moderate 15 23
- Severe: ≥ 24 severe (up to 56)









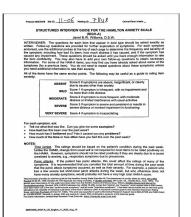


Treatment response

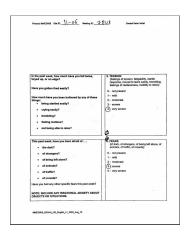
Non responder: <25% reduction
 Partial responder: >25% and <50%,
 Responder and non-remitter: >50% and score >8

Remitter: score <7

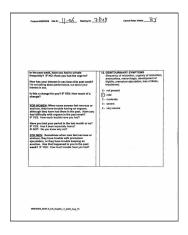
HAM-A
What is the challenge?
And why it matters?

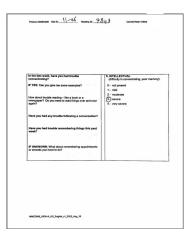


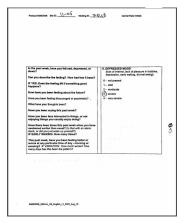


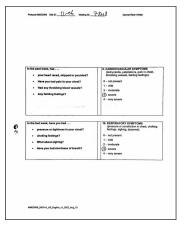


















STRUCTURED INTERVIEW GUIDE FOR THE HAMILTON ANXIETY SCALE

(SIGH-A)

Janet B.W. Williams, D.S.W.

INTERVIEWER: The questions for each item that appear in bold type should be asked exactly as written. Follow-up questions are provided for further exploration of symptoms. For each symptom endorsed, use the additional probes at the top of each page to determine the frequency and severity of the symptom, including how bad it's been, how much distress it has caused, and if the symptom has caused any impairment. These questions should be asked until you have enough information to rate the item confidently. You may also have to add your own follow-up questions to obtain necessary information. For some of the HAM-A items, you may find you have already asked about some of the symptoms (for a previous item). You do not need to repeat questions about these symptoms unless you need additional information to rate their severity.

All of the items have the same anchor points. The following may be useful as a guide to rating item severity:

ABSENT Score 0 if symptoms are absent, Insignificant, or clearly due to causes other than anxiety

MILD Score 1 if symptom is infrequent, with no impairment and

no more than mild distress

Score 2 if symptom is more frequent, with moderate

MODERATE Score 2 if symptom is more frequent, with moderate distress or limited interference with usual activities

Score 3 if symptom is severe and persistent or results in severe distress or marked impairment in functioning

VERY SEVERE Score 4 if symptom is incapacitating

For each symptom, ask:

- Tell me what that was like. Can you give me some examples?
- · How bad has this been over the past week?
- . How much has it bothered you? Has it caused you any problems?
- . How much of the time or how often have you had this over the past week?

NOTES:

Time period. The ratings should be based on the patient's condition during the past week. Unlike the HAMD, change from usual self is not required for most items to be rated positively on the HAMA. However, symptoms should not be rated positively if they are clearly due to a cause unrelated to anxiety, e.g., respiratory symptoms due to pneumonia.

<u>Panic attacks</u>. If the patient has panic attacks, this could affect the ratings of many of the symptoms. It is recommended that you consider the total amount of time during the past week that the panic attack symptoms occurred, as well as their severity. For example, a patient who has a few severe but short-lived panic attacks during the week, but who otherwise does not have many anxiety symptoms, would probably not have a very high total HAM-A score.

This instrument provides an interview guide for the Hamilton Anxiely Scale (Hamilton M: The assessment of anxiety states by ratios, <u>Part Paysond 125-05-05</u>, 1099. Hamilton M: The diagnosis and rating of anxiety is, <u>Paties of Anxiety M: Michael E. 4.</u> Heading Poor, Kerl 1, 1959. The anxiety opini descriptions for the scale have been taken from the SCOLE Assessment Manual (Guy, William, <u>ECDEU Assessment Manual Soy</u>, Paties anxiety of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the size of the Scale have been taken out of the Scale have been taken out of the Scale have been taken to the Scale have been taken out of the Scale have been taken to the Scale have



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In the past week, how much have you felt tense, 2. TENSION keyed up, or on edge? (feelings of tension, fatigability, startle response, moved to tears easily, trembling, feelings of restlessness, inability to relax): Have you gotten tired easily? 0 - not present 1 - mild How much have you been bothered by any of these things: 2 - moderate · being startled easily? 3 - severe 4 very severe · crying easily? trembling? feeling restless? not being able to relax? This past week, have you been afraid of . . . (of dark, of strangers, of being left alone, of animals, of traffic, of crowds): the dark? 0 - not present · of strangers?

of being left alone?

Have you had any other specific fears this past week?

NOTE: INCLUDE ANY IRRATIONAL ANXIETY ABOUT

of animals?

OBJECTS OR SITUATIONS.

of traffic?of crowds?

1 - mild

2 - moderate
3 - severe

4 - very severe



In the past week, how much have you felt tense, keyed up, or on edge? 2. TENSION (feelings of tension, fatigability, startle response, moved to tears easily, trembling, feelings of restlessness, inability to relax): Have you gotten tired easily? 0 - not present 1 - mild How much have you been bothered by any of these 2 - moderate things: being startled easily? 3 - severe 4 very severe · crying easily? trembling? feeling restless? not being able to relax?

	Ca
	S. FEARS
This past week, have you been afraid of	(of dark, of strangers, of being left alone, of
the dark?	animals, of traffic, of crowds):
of strangers?	0 - not present 1 - mild
of being left alone?	2 - moderate
of animals?	3- severe
of traffic?	4 - very severe
of crowds?	
Have you had any other specific fears this past week?	
NOTE: INCLUDE ANY IRRATIONAL ANXIETY ABOUT OBJECTS OR SITUATIONS.	*
	I I



In the past week, how much have you felt tense, 2. TENSION (feelings of tension, fatigability, startle keyed up, or on edge? response, moved to tears easily, trembling, feelings of restlessness, inability to relax): Have you gotten tired easily? 0 - not present 1 - mild How much have you been bothered by any of these things: 2 - moderate · being startled easily? 3 - severe 4 very severe · crying easily? trembling? feeling restless? not being able to relax? This past week, have you been afraid of . . . 3. FEARS (of dark, of strangers, of being left alone, of animals, of traffic, of crowds): the dark?

· of strangers?

· of animals?

OBJECTS OR SITUATIONS.

of traffic?of crowds?

of being left alone?

Have you had any other specific fears this past week?

NOTE: INCLUDE ANY IRRATIONAL ANXIETY ABOUT

0 - not present

2 - moderate
3 - severe

4 - very severe

1 - mild



In the past week, how much have you felt tense, 2. TENSION keyed up, or on edge? (feelings of tension, fatigability, startle response, moved to tears easily, trembling, feelings of restlessness, inability to relax): Have you gotten tired easily? 0 - not present 1 - mild How much have you been bothered by any of these things: 2 - moderate · being startled easily? 3 - severe 4 very severe · crying easily? trembling? feeling restless? not being able to relax? This past week, have you been afraid of . . . (of dark, of strangers, of being left alone, of animals, of traffic, of crowds): the dark? 0 - not present · of strangers?

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Have you had any other specific fears this past week?

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of animals?

OBJECTS OR SITUATIONS.

of traffic?of crowds?

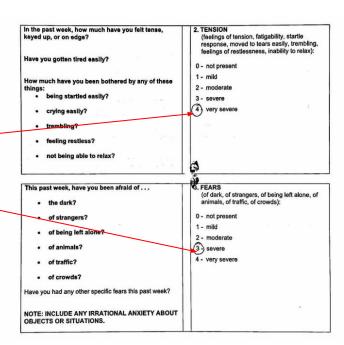
1 - mild

2 - moderate
3 - severe

4 - very severe



Replace slow and expensive double check with fast and precise scoring (and other features)





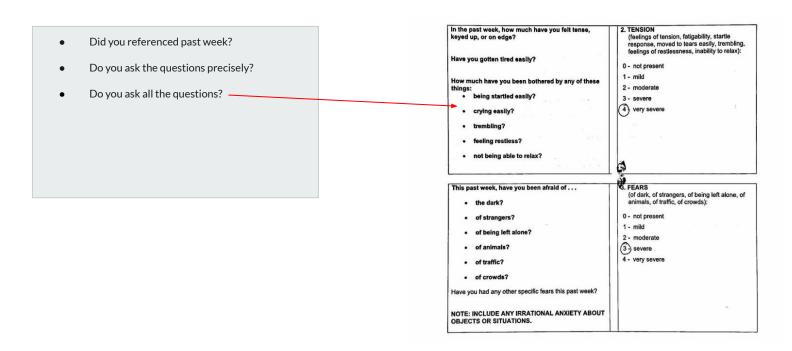
- Did you referenced past week?
- Do you ask the questions precisely?

In the past week, how much have you felt tense, 2. TENSION keyed up, or on edge? (feelings of tension, fatigability, startle response, moved to tears easily, trembling, feelings of restlessness, inability to relax): Have you gotten tired easily? 0 - not present 1 - mild How much have you been bothered by any of these 2 - moderate · being startled easily? 3 - severe 4 very severe · crying easily? trembling? feeling restless? not being able to relax? This past week, have you been afraid of . . . (of dark, of strangers, of being left alone, of animals, of traffic, of crowds): · the dark? 0 - not present · of strangers? 1 - mild of being left alone? 2 - moderate (3) severe · of animals? 4 - very severe of traffic? of crowds? Have you had any other specific fears this past week?

NOTE: INCLUDE ANY IRRATIONAL ANXIETY ABOUT

OBJECTS OR SITUATIONS.







- Did you referenced past week?
- Do you ask the questions precisely?
- Do you ask all the questions?
- How consistent and precise the scoring is?

In the past week, how much have you felt tense, keyed up, or on edge?	2. TENSION (feelings of tension, fatigability, startle response, moved to tears easily, trembling, feelings of restlessness, inability to relax):			
Have you gotten tired easily?	0 - not present			
How much have you been bothered by any of these things:	1 - mild 2 - moderate			
being startled easily?	3 - severe 4 very severe			
crying easily?	Very severe			
trembling?	191			
• feeling restless?	Sec. Sec.			
not being able to relax?	a			
	<u></u>			
This past week, have you been afraid of	S. FEARS			
• the dark?	(of dark, of strangers, of being left alone, of animals, of traffic, of crowds):			
of strangers?	0 - not present			
of being left alone?	1 - mild 2 - moderate			
of animals?	3- severe			
of traffic?	4 - very severe			
of crowds?				
Have you had any other specific fears this past week?				
NOTE: INCLUDE ANY IRRATIONAL ANXIETY ABOUT OBJECTS OR SITUATIONS.	*			



- Did you referenced past week?
- Do you ask the questions precisely?
- Do you ask all the questions?
- How consistent and precise the scoring is?

Evaluations need double check and fast feedback loop

In the past week, how much have you felt tense, keyed up, or on edge?	Z. TENSION (feelings of tension, fatigability, startle response, moved to tears easily, trembling,			
Have you gotten tired easily?	feelings of restlessness, inability to relax): 0 - not present			
How much have you been bothered by any of these things:	1 - mild 2 - moderate			
being startled easily?	3 - severe			
crying easily?	4 very severe			
• trembling?	190			
feeling restless?	141			
not being able to relax?	a			

ſ	This past	week	have	VOII	heen	afraid	of	1.5	ī
	I IIIo pasi	week,	Have	you	Decu	amaiu	0.	٠.	

- the dark?
- of strangers?
- of being left alone?
- of animale
- -64-46-4
- · of crowds?

Have you had any other specific fears this past week?

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3

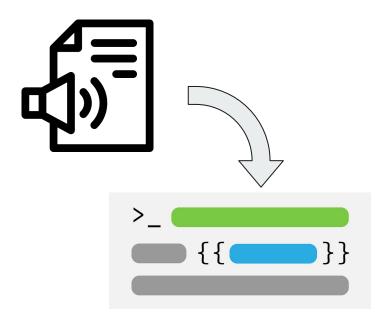
S. FEARS
(of dark, of strangers, of being left alone, of animals, of traffic, of crowds):

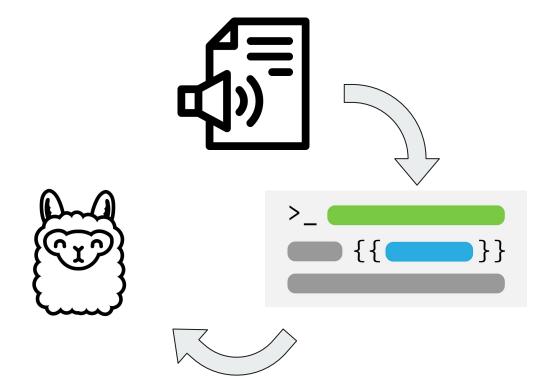
- 0 not present
- 1 mild
- 2 moderate
- 3 severe

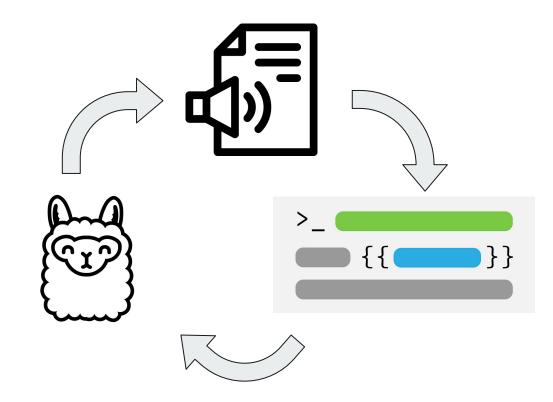
4 - very severe

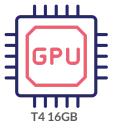
Could LLM help?

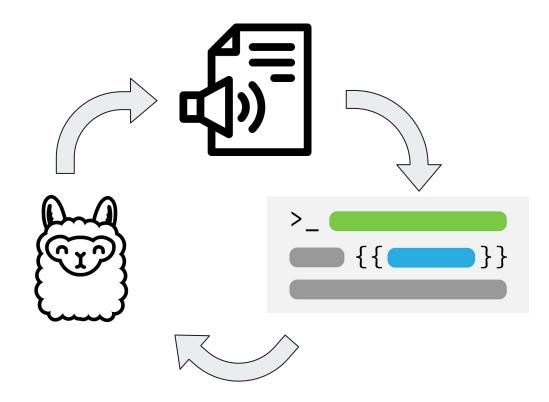








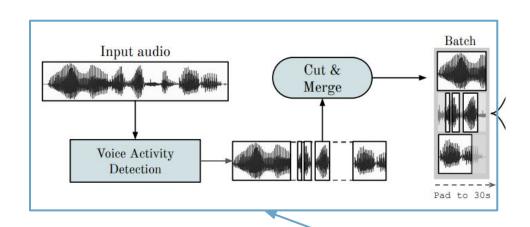




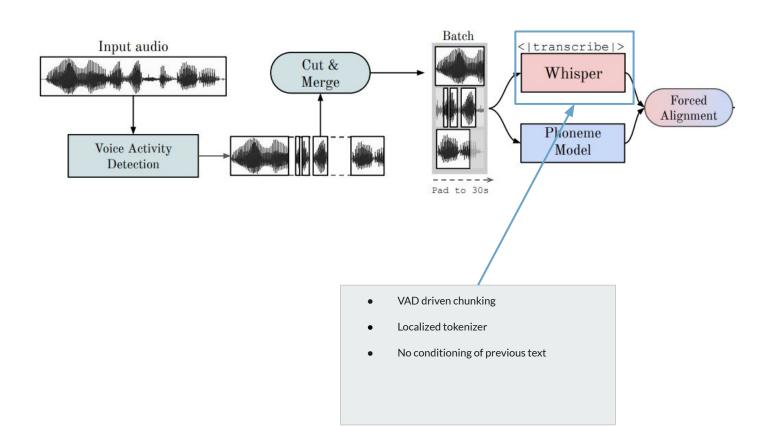
Audio Processing

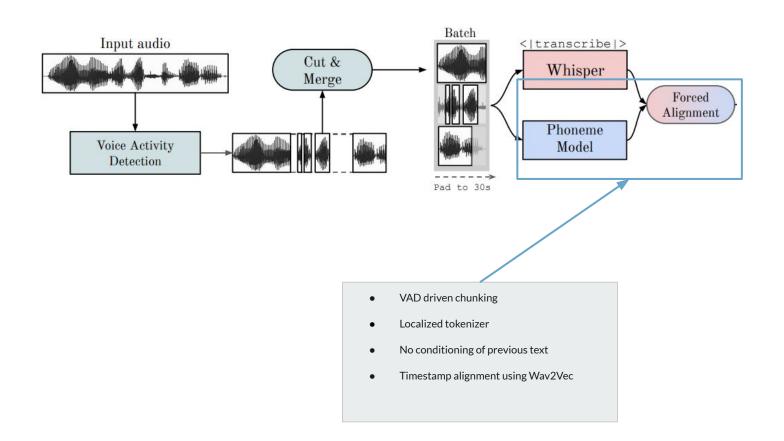
Reasons for WhisperX

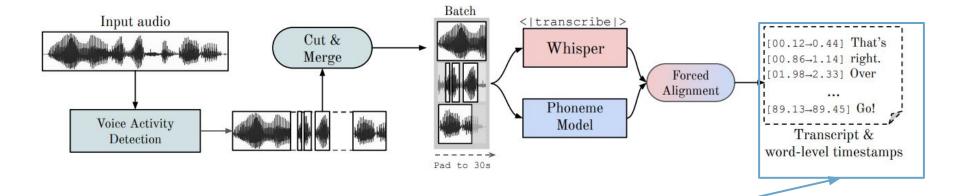
- Quality of transcription
- Speed
- Timestamps



• VAD driven chunking







- VAD driven chunking
- Localized tokenizer
- No conditioning of previous text
- Timestamp alignment using Wav2Vec
- Hallucination detection

It'll be like two minutes.\n The provider just needs to finish up with her.\n Is that all right?\n Hello?\n Hi, X.\n How are you?\n I'm good.\n Good, good.\n Hey, so the provider's just finishing up a neuro check.\n It should be like two more minutes.\n Okay.\n No problem.\n Is that all right?\n Sorry.\n Yeah, absolutely.\n I'm here.\n Yeah.\n Okay.\n And then can I confirm that meeting ID with you?\n Yes, absolutely.\n Yeah, I'm ready.\n Okay.\n So that meeting ID is 12345.\n Yeah.\n Exactly.\n Yeah.\n I'm ready.\n Okay.\n Perfect.\n All right.\n I will come on as soon as she's done.\n No, no rush.\n Okay.\n Thank you.\n No problem.\n Bye.\n Bye.\n Bye.\n Bye.\n All right.\n She is ready for you.\n Okay.\n Okay.\n All right.\n So I'm going to log in the computer and then I have Melissa here.\n Okay.\n Wonderful.\n Okay.\n And then I will step out.\n Thank you.\n Okay.\n Thank you.\n Yeah.\n Hello.\n Hello.\n Hi.\n How can you hear me?\n I'm turning it up, but good \n Okay \n Yeah, me too \n Let me just do it \n So it's better when it's loud than it's quiet.\n Okay.\n All right.\n Any questions so far?\n Nope.\n I'm good.\n Okay.\n So, how has it been past week?\n How have you been doing overall?\n Pretty typical for me.\n Just standard anxiety stuff that I deal with day-to-day.\n Okay.\n Mm-hmm.\n Have you been working, though?\n Yes.\n Okay.\n Daily?\n Every day?\n Mm-hmm.\n My normal schedule, yes.\n Okay.\n And what sort of work do you typically involve doing?\n I'm mainly interested in whether it's talking to people, writing, reading, computing, what\n your typical tasks.\n Yeah.\n Interaction with my fellow co-workers, with the public, working with documents on the\n computer, emailing.\n Okay.\n Okay.\n Okay.\n Okay.\n Okay.\n Okay."""

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- Hard to identify
- Can ruin further work

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- Hard to identify
- Can ruin further work
- Transcription check
 - LLM Likelihood
 - n-gram repetition
 - WER (adjusted)

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- Hard to identify
- Can ruin further work
- Transcription check
 - LLM Likelihood
 - n-gram repetition
 - WER (adjusted)
- Reasons of hallucination
 - Low quality audio
 - High conditioning on the past
 - Not localized tokenizer

Prompting Dead Ends

Summarizing transcription first

Summarizing transcription first

- Iterative context aggregation
- No guiding principles on what is important -> missing details
- Prioritize more recent context
- Tries to make its own interpretation (e.g. urination is connected to insomnia)

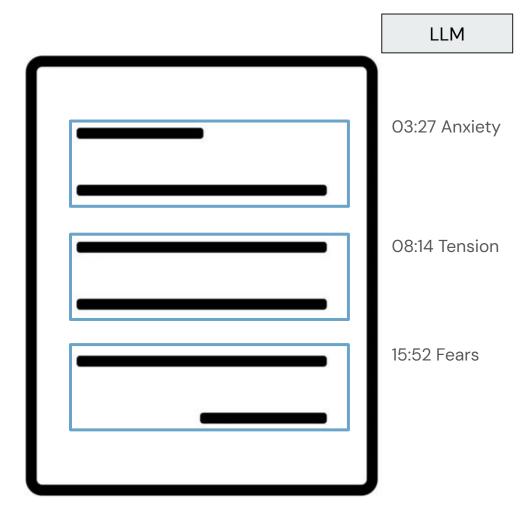
Zeroshot prediction of all the symptom

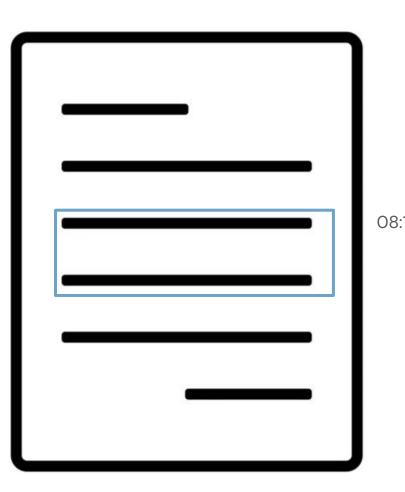
Zeroshot prediction of all the symptom

- Prompt is too big for fine tuning
- Hard to force model to cover all the symptoms
- Hallucinating new ones

Prompting strategy







O8:14 Tension Symptom conditional prompting

You are provided with a transcribed HAM-A interview between a clinician and a patient. Each line starts with the start and end time in minutes and seconds (mm:ss), followed by the transcription of the audio. The transcription may contain errors, typos, and unclear speaker identification. Your task is to estimate the severity of the patient's {symptom} based on this transcription.

Instructions:

- **1.** **Focus**: Identify and evaluate only the utterances related to {symptom}.
- **2.** **Keywords**: {keywords_section}
- **3.** **Severity Scale**: {severity_scale}
- **4.** **Examples for Calibration**: Use the following examples to guide your evaluation: {real_examples}

```
5. **Response Format**: Provide your response as a Python dictionary:
{{
    "{symptom}": "severity level"
}}
For example:
{{
    "{symptom}": "MILD"
}}
- Do not add or remove any keys from the dictionary.
- Do not include additional text or explanations.
- Input may contain content related to other symptoms.
Ignore everything not related to {symptom}.
```

Input
{transcription}

Detected {symptom} severity:
{{
 "{symptom}":"""

You are provided with a transcribed HAM-A interview between a clinician and a patient. Each line starts with the start and end time in minutes and seconds (mm:ss), followed by the transcription of the audio. The transcription may contain errors, typos, and unclear speaker identification. Your task is to estimate the severity of the patient's {symptom} based on this transcription.

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### Detected {symptom} severity:
```

"{symptom}":"""

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```
### Input
{transcription}
### Detected {symptom} severity:
"{symptom}":"""
```

Keywords for Gastrointestinal symptoms

- stomach pain
- difficulty swallowing
- wind
- abdominal pain
- fullness
- nausea, vomiting, borborygmi
- looseness of bowels
- loss of weight
- constipation

You are provided with a transcribed HAM-A interview between a clinician and a patient. Each line starts with the start and end time in minutes and seconds (mm:ss), followed by the transcription of the audio. The transcription may contain errors, typos, and unclear speaker identification. Your task is to estimate the severity of the patient's {symptom} based on this transcription.

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### Input
{transcription}
### Detected {symptom} severity:
```

"{symptom}":"""

General severity scale

- **ABSENCE**: No symptoms or clearly not anxiety-related.
- MILD: Infrequent symptoms, mild distress, no impairment.
- MODERATE: More frequent symptoms, moderate distress, limited interference with activities.
- **SEVERE**: Severe, persistent symptoms, significant distress or impairment.
- **VERY SEVERE**: Incapacitating symptoms.

You are provided with a transcribed HAM-A interview between a clinician and a patient. Each line starts with the start and end time in minutes and seconds (mm:ss), followed by the transcription of the audio. The transcription may contain errors, typos, and unclear speaker identification. Your task is to estimate the severity of the patient's {symptom} based on this transcription.

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### Input
{transcription}
```

Detected {symptom} severity:

"{symptom}":"""

Aggregated real examples for Gastrointestinal symptoms

VERY SEVERE

Intense nausea and vomiting due to anxiety, causing significant distress and interference with daily activities.

SEVERE

Frequent trouble swallowing and vomiting. Persistent stomach pain and gas with moderate discomfort. Constipation resolves itself.

MODERATE

Moderate stomach pain, fullness, and gas occurring 2-3 times weekly, causing minor discomfort.

MILD

Minor gas without distress, and intentional weight loss. No other gastrointestinal symptoms.

ABSENCE

No gastrointestinal symptoms such as swallowing difficulties, stomach pain, gas, nausea, or vomiting.

Takeaways

- Small LLMs struggle to consume long transcribes / prompts
- Structure & known formats like markdown, json, python dict help
- Few-shot can not cover variability of situations

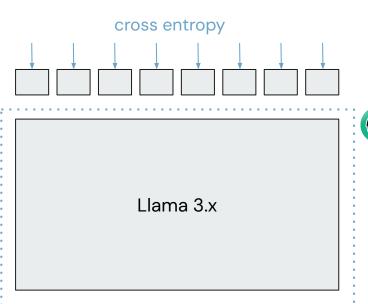
Finetuning

Llama 3.x



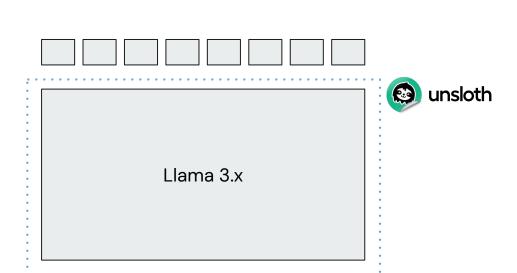


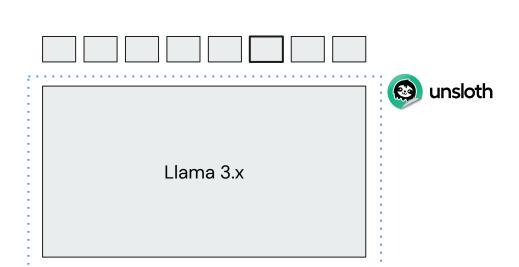
- 4NF QLoRA
- Kernels
- RoPE rescaling

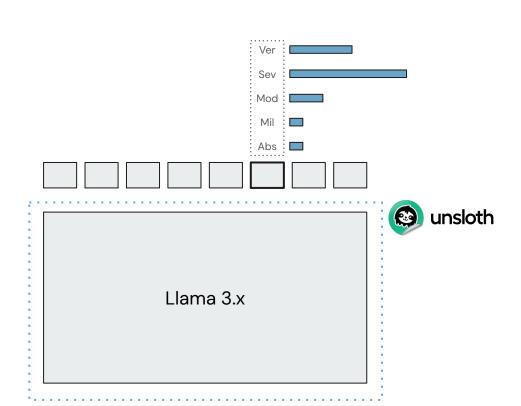


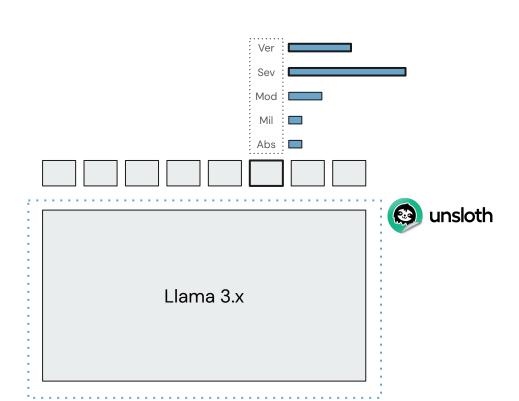


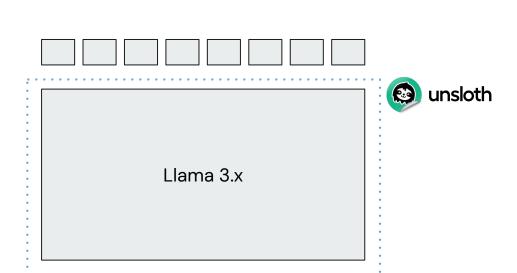
- 4NF QLoRA
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- RoPE rescaling

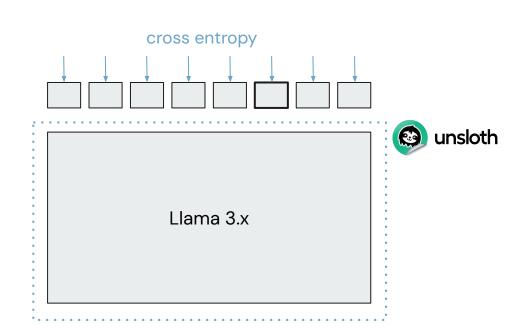


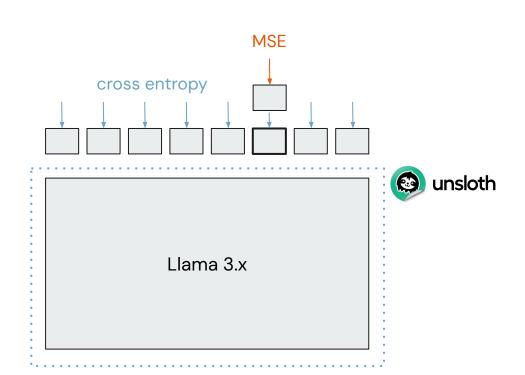


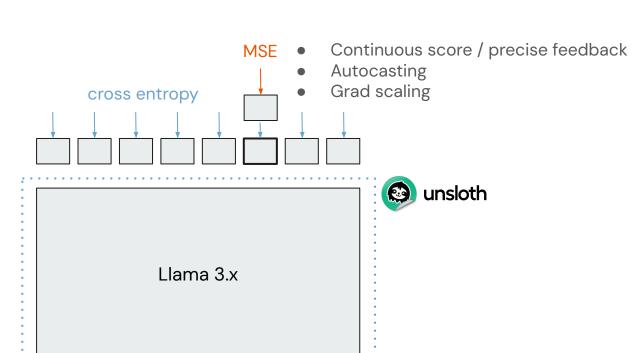












Results

Configuration comparisons

Configuration comparisons

Llama Instruct Llama

Llama 3.0 Llama 3.1

Zeroshot Few shot

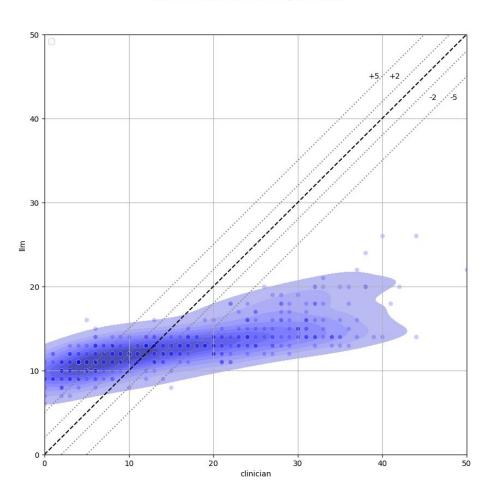
Finetuned NOT finetuned

Precise timing NOT precise timing

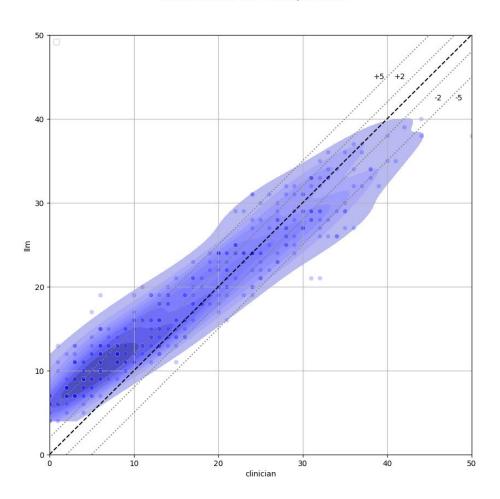
Configuration comparisons

Llama Instruct	>>	Llama
Llama 3.0	<	Llama 3.1
Instruction template	~	Just EOT token
Zeroshot	<	Few shot
Finetuned	>>	NOT finetuned
Precise timing	>>	NOT precise timing

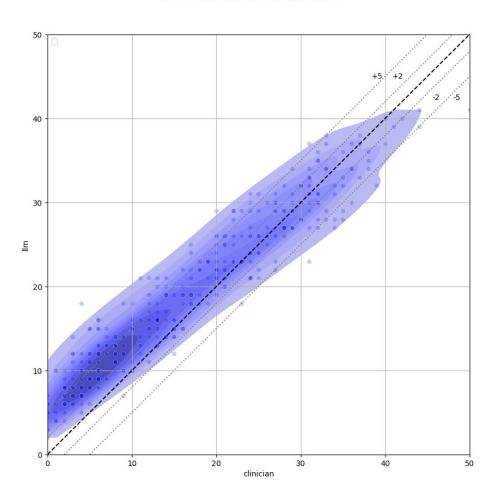
Zeroshot on Llama 3.1 8B HAM-A score err: 8.13 +- 5.68, corr: 0.765

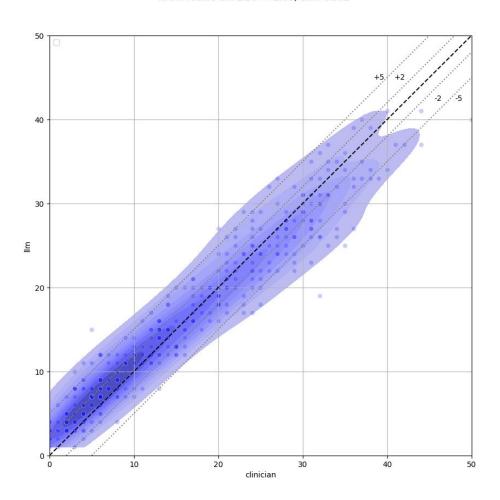


Zeroshot on Llama 3.1 8B Instruct HAM-A score err: 3.77 +- 2.61, corr: 0.95

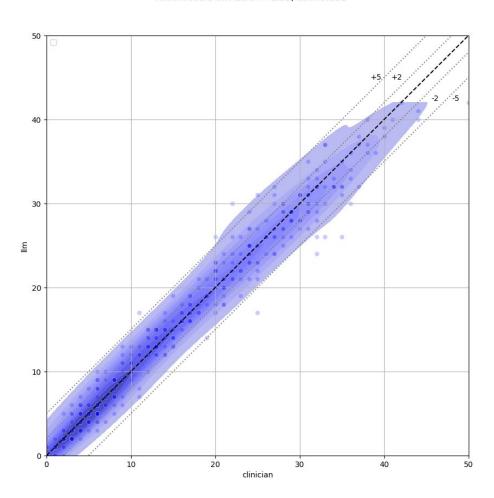


Fewshot on Llama 3.1 8B Instruct HAM-A score err: 3.71 +- 2.46, corr: 0.957

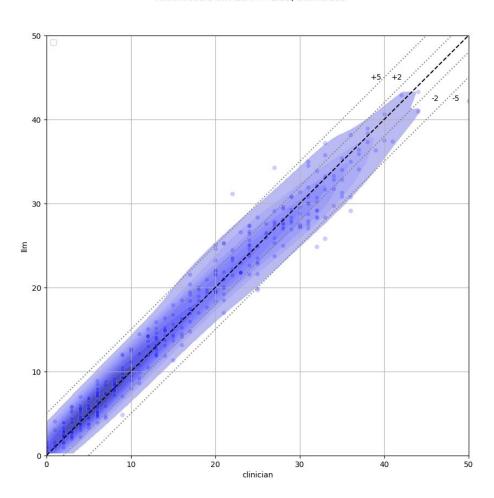




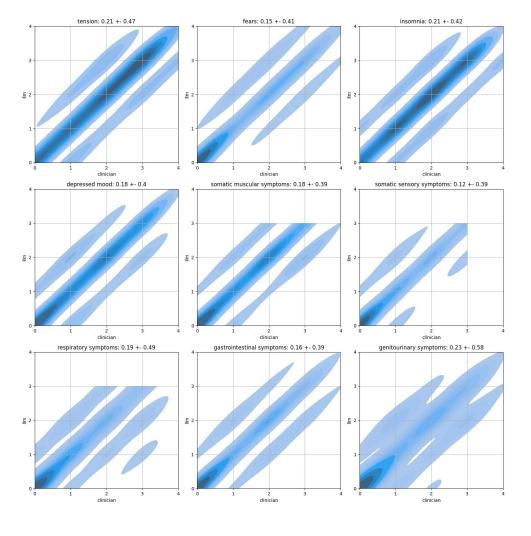
Finetuned Llama 3.1 8B Instruct HAM-A score err: 1.56 +- 1.55, corr: 0.981



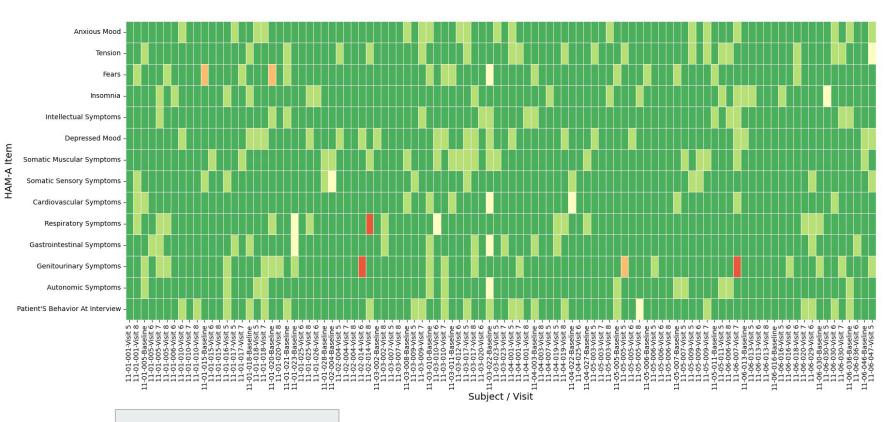
Finetuned Llama 3.1 8B Instruct with numeric output HAM-A score err: 1.57 +- 1.39, corr: 0.983



Clinical trial intelligence #paradigma shift

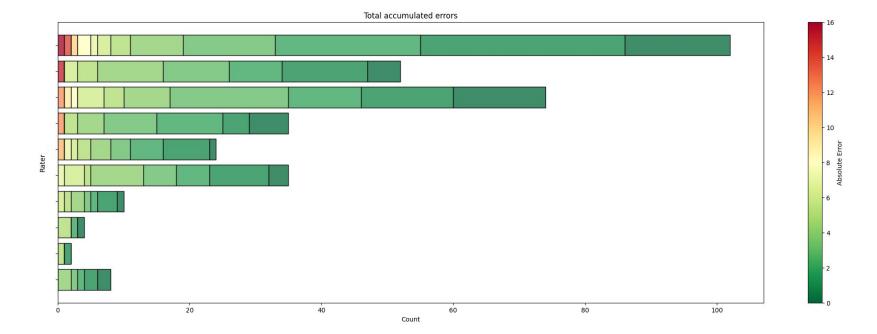


Big picture over individual symptoms may point to weak part of questionnaire that lead to interpretation with low inter rater agreement



- 3

Zooming into specific session and symptoms to find and interpret outliers.



Tracking clinician performance during the time

Features

- Consistent ratings
- More nuanced continuous scales with confidence
- Concrete pointers to audio in case of FP/FN
- QA LLM for explainability
- Check whether clinicians follow the protocol
- Time spend on subitems
- Audio sentiment

Findings

- Inconsistent personal scales between clinicians
 - Difference between moderate and severe symptom
 - Over-rating 'very severe'
- Avoiding certain topics
 - o sex / libido in genitourinary symptoms
- Different level of detail in questioning
 - Skipping questions
 - Changing the exact wording of questions
 - Chest pain / Chest pressure case

Findings

- Missing the facts (while making notes)
- Subject misunderstanding the question
 - o asked about last week, describing last month
- Hard cases unclear sources of symptoms
 - o insomnia vs dog
 - o headache vs chinese food/pills
 - o lack of sex vs. menopause